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PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76956

Shinya WATANABE, et al.

Appln. No.: 10/648,276

Group Art Unit: 2811

Confirmation No.: 4435

Examiner: Jennifer M. DOALN

Filed: August 27, 2003

For: SEMICONDUCTOR CHIP HAVING AN ARRAYED WAVEGUIDE GRATING AND
METHOD OF MANUFACTURING THE SEMICONDUCTOR CHIP AND MODULE
CONTAINING THE SEMICONDUCTOR CHIP

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. H08-68913, published March 12, 1996.
2. Japanese Unexamined Patent Application Publication No. H05-333222, published December 17, 1993.
3. Japanese Unexamined Patent Application Publication No. H08-160236, published June 21, 1996.
4. Japanese Unexamined Patent Application Publication No. H06-250034, published September 9, 1994.

One copy of each of the listed documents is submitted herewith.

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INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/648,276

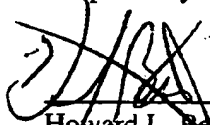
The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action dated August 24, 2004 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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